

Format for letter requesting invocation of lien to be given by Pledgee on letter head

(On Bank's /Financial Institution Letterhead, with Seal, employee no and designation)

Date: _____

To,

Samco Mutual Fund
A-1003 Naman Midtown 10th Floor
Prabhadevi (west) Mumbai 400 013

Dear Sir,

Re: Lien invocation As per our instruction issued on _____ and with necessary documents you had earlier marked lien in our favour on the units held by Mr/Ms M/s _____ (Unitholder) in _____ (scheme).

We would like to invoke the lien in respect of the below mentioned units lien/pledged in our favour by the Investor and we therefore, request you for the redemption of the below mentioned units.

Folio No	
Scheme/Plan/Option	
No. of units is to be invoked	

Kindly release the redemption /maturity proceeds to us (subject to lock-in period, if any of the concerned scheme) into our bank account, details of which are as follows:

Name of the Institution	
Bank Account Number	
Bank Name	
Branch Name	
Bank Account type	
IFSC Code	

Kindly note that the balance units, if any, will continue to remain "Lien Marked" in our favour till further instructions from the Bank and/or the investor

We are aware that the units shall be redeemed, on such instruction, at the NAV prevalent as on the date of redemption and neither Samco Mutual Fund, Samco Trustee Private Limited, Samco Asset Management Private Ltd., nor its Registrar and Transfer Agents, its directors, employees, successors and assigns, their associates hold any responsibility for any loss incurred on such investment. Investments in Mutual Funds are subject to market risk and the investor is expected to read all scheme related documents carefully. Any action on the above securities shall be performed as per discretion and instruction as issued by the Bank.

We are aware that Samco Mutual Fund acting through Samco Asset Management Private Limited may solely rely on the instructions issued by us and shall assume the genuineness of the signatures appearing in this letter and as per the authorized list of signatories as approved by the Bank. Samco Mutual Fund, Samco Trustee Private Limited, its Registrar and Transfer Agents, Samco Asset Management Private Ltd., its directors, employees, successors and assigns and their associates shall not be held liable under any circumstances for any action taken on the instructions with respect to invocation of lien.

We, hereby, agree and undertake to indemnify at all times, Samco Mutual Fund, , Samco Trustee Private Limited, its Registrar and Transfer Agents, Samco Asset Management Private Ltd., its directors, employees successors and assigns, their associates, from and against all actions, causes of action, suits, proceedings, accounts, claims and demands whatsoever arising out of or on account of or in connection with the said pledge / lien of the Units in case of disputed/wrong or incorrect invocation of lien by us.

Thanking You,

Yours faithfully,

Branch Manager/ Authorized Signatory

Name _____

Designation _____

Enclosed:

- 1) Document evidencing delegation of power to the employee of the Pledgee for invoking the lien.
- 2) Authorized Signatory List/ Power of Attorney (POA) in favour of the above employee.

CC: _____(Investor)